



Atty. Dkt. No. 037607-0236

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: William R. Nolan III

Title: SYSTEM AND METHOD FOR
PROCESSING DATA
PERTAINING TO FINANCIAL
ASSETS

Appl. No.: 10/738,468

Filing Date: 12/17/2003

Examiner: TBD

Art Unit: 3621

CERTIFICATE OF EXPRESS MAILING
I hereby certify that this correspondence is being deposited with the
United States Postal Service's "Express Mail Post Office To
Addressee" service under 37 C.F.R. § 1.10 on the date indicated
below and is addressed to: Commissioner for Patents, P.O. Box
1450, Alexandria, VA 22313-1450.

EV 625662412 US 3/31/06
(Express Mail Label Number) (Date of Deposit)

Carolyn Simpson

(Printed Name)

Carolyn Simpson
(Signature)

AMENDMENT TRANSMITTAL

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Transmitted herewith is an amendment in the above-identified application.

The fee required for additional claims is calculated below:

| | Claims As Amended | Previously | | Extra | | Rate | Claims Fee |
|--|-------------------------|------------|---------|--------|----------|------------|-------------|
| | | Paid For | Present | Claims | | | |
| Total Claims: | 44 | - | 32 | = | 12 | x \$50.00 | = \$600.00 |
| Independent Claims: | 7 | - | 5 | = | 2 | x \$200.00 | = \$400.00 |
| First presentation of any Multiple Dependent Claims: | | | | + | \$360.00 | = | \$0.00 |
| CLAIMS FEE TOTAL | | | | | | | = \$1000.00 |

[] Applicant hereby petitions for an extension of time under 37 C.F.R. §1.136(a) for the total number of months checked below:

| | | |
|---|------------|-----------|
| [] Extension for response filed within the first month: | \$120.00 | \$0.00 |
| [] Extension for response filed within the second month: | \$450.00 | \$0.00 |
| [] Extension for response filed within the third month: | \$1,020.00 | \$0.00 |
| [] Extension for response filed within the fourth month: | \$1,590.00 | \$0.00 |
| [] Extension for response filed within the fifth month: | \$2,160.00 | \$0.00 |
| EXTENSION FEE TOTAL: | | \$0.00 |
| [] Statutory Disclaimer Fee under 37 C.F.R. 1.20(d): | \$130.00 | \$0.00 |
| CLAIMS, EXTENSION AND DISCLAIMER FEE TOTAL: | | \$1000.00 |
| [] Small Entity Fees Apply (subtract ½ of above): | | \$0.00 |
| TOTAL FEE: | | \$1000.00 |

A credit card payment form in the amount of \$1000.00 is enclosed.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 06-1447. Should no proper payment be enclosed herewith, as by the credit card payment form being unsigned, providing incorrect information resulting in a rejected credit card transaction, or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 06-1447.

Please direct all correspondence to the undersigned attorney or agent at the address indicated below.

Respectfully submitted,

Date 3/31/06

By Michael S. Brayer

FOLEY & LARDNER LLP
 Customer Number: 34099
 Telephone: (414) 297-5684
 Facsimile: (414) 297-4900

Michael S. Brayer
 Attorney for Applicant
 Registration No. 51,495



04-03-06

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| EV 625662412 US (Express Mail Label Number) | 3/31/06 (Date of Deposit) |
| Carolyn Simpson (Printed Name) | |
|  | |
| (Signature) | |

PRELIMINARY AMENDMENT UNDER 37 CFR 1.115

Prior to examination of the present Application, Applicant respectfully requests that the application be amended as follows:

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this document.

Remarks/Arguments begin on page 12 of this document.

Please amend the application as follows:

04/05/2006 RFEKADU1 00000015 10738468

01 FC:1201 400.00 OP
02 FC:1202 600.00 OP